

Regulatory Oversight of Code-Sharing Arrangements Between US Carriers

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One Set of Standards

- **Each part 121 air carrier complies with the same regulatory standards, regardless of size of carrier or size of airplane.**
- **Code-sharing is a marketing agreement that does not remove the requirement to comply with these standards.**
- **Each part 121 air carrier has an independent certificate.**



Air Transportation Oversight System

- **Provides certification and surveillance processes for all part 121 air carriers.**
- **Assesses the safety of air carrier operating systems using:**
 - System safety principles;
 - Safety attributes;
 - Risk management; and
 - Structured system engineering practices.



Air Transportation Oversight System

- **FAA's primary responsibilities are:**
 - to verify that an air carrier has the systems in place to operate safely and in compliance with the regulations before issuing an air carrier operating certificate;
 - to verify an air carrier continues to meet regulatory requirements when environmental changes occur by conducting periodic reviews; and



Air Transportation Oversight System

- **FAA's primary responsibilities are:**
 - to continually validate the performance of an air carrier's approved and accepted programs for the purpose of continued operational safety.



Operational Control

- ***Operational control***, with respect to a flight, means the exercise of authority over initiating, conducting or terminating a flight.
- **“Doing Business As” (dba) The name under which the operation is conducted;**
 - Not the name of the air carrier operating the flight and in control of the operation.
 - Examples:
 - Delta Connection
 - USAirways Express
 - Midwest Connection

FAA Requirements for “dba”

- **An air carrier must:**
 - Have all business names listed in its operations specifications, which are issued by the FAA.
 - Display the name of the certificate holder operating the aircraft, readable from the outside of the aircraft to a person standing on the ground, i.e. an FAA inspector.
 - Include a list of authorized airplanes, by tail number, in its operations specifications.



Summary

- **FAA assures the level of safety in code sharing operations by:**
 - US Air Carrier: Direct oversight of each individual carrier through certification and surveillance
 - Foreign Air Carrier:
 - Through validation of the foreign state CAA by the IASA process, and,
 - The US carrier audit of the foreign code share partner's compliance with the foreign CAA's requirements through the IOSA process

