

SECNY

| Date/Time    | Subject(s)   | Entered By |
|--------------|--|------------|
| 031252ZNOV07 | Message<br>Initial Notification - CGC Sector New York rcvd notification from [REDACTED], the agent for the M/V Axel Spirit. [REDACTED] reports that the Axel Spirit allided with the Ambrose Light tower. [REDACTED] stated that he did not believe that there was any major damage but he was unsure.   | [REDACTED] |
| 031305ZNOV07 | Message<br><no subject><br>Briefed duty marine investigation's officer of the incident.  | [REDACTED] |
| 031400ZNOV07 | Message<br><no subject><br>Briefed CO. CO directs Command Center to arrange an overflight if possible. Explained that due to WX conditions that a helo may not be available.   | [REDACTED] |
| 031430ZNOV07 | Message<br><no subject><br>CDO called [REDACTED] to request a member from ANT or SECNY (WMM) to ride on the helo to take photos and access aid damage.   | [REDACTED] |
| 031500ZNOV07 | Message<br><no subject><br>Prevention request COTP and to cease vessel cargo operations until further investigation of damage.<br>CO does not see the purpose in stopping cargo operations if we think there is a possible breach in the hull. CO wants us to hold off on the COTP order until the response team arrives onboard and assesses the situation. | [REDACTED] |
| 031530ZNOV07 | Message<br><no subject><br>Request Sandy Hook Pilots ride by Ambrose Light Tower to access damage.   | [REDACTED] |
| 031600ZNOV07 | Message<br><no subject><br>Dispatched Duty Marine investigator, pollution investigator and marine inspector.   | [REDACTED] |
| 031637ZNOV07 | Message<br><no subject><br>Called agent to report that the vessel will be issued a COTP order but in the mean time have the vessel order a diver to conduct a survey of the underwater hull.   | [REDACTED] |
| 031639ZNOV07 | Message<br><no subject><br>[REDACTED] reports that CEU plans to assess damage at Ambrose Light Tower on Monday 05Nov07.  | [REDACTED] |
| 031650ZNOV07 | Message<br><no subject><br>Told CO that I instructed the vessel to verbally conduct a dive survey. CO wants this to be followed up by a COTP order.  | [REDACTED] |
| 031650ZNOV07 | Message<br><no subject><br>CDO and [REDACTED] conference call with CO to discuss request of an LOU in the amount of 8-15 million. CO wants CDO to call DI legal to determine whether this is the best / correct mechanism to use to recoup the money for the damages to the aid.   | [REDACTED] |

Date/Time 031657ZNOV07 Subject(s) <no subject> Entered By [REDACTED]  
Message  
Sandy Hook Pilots report 3 legs holding Ambrose Tower the, SE Leg: 8ft above the water line the weld is possible completely separated. SW Leg: 8ft above the water line is dented and partially separated. N Leg: is fine and center Stanchion: Small dent and hatch is open with water spraying in. ATON light: the Rotary is stuck in one position with the light on.

Date/Time 031701ZNOV07 Subject(s) <no subject> Entered By [REDACTED]  
Message  
CDO briefed D1 on situation also requested assistance with determination of whether LOU was correct measure to use.

Date/Time 031710ZNOV07 Subject(s) <no subject> Entered By [REDACTED]  
Message  
D1 conferenced call with SEC NY and D1 legal ([REDACTED]). It was decided that the legal intent of the LOU was to pursue Civil Penalty cases not for Aid damage. D1 legal will correspond directly with the vessel's legal counsel concerning the ATONs damages. They also advise that we conduct a thorough investigation into the vessel's failure to report the casualty in addition to an Administrative investigation for claims purposes. [REDACTED] request ANOA for the AXEL SPIRIT be sent via email to himself and D1 CC.

D1 directs SEC NY to send out an SMIB on the aid

Date/Time 031728ZNOV07 Subject(s) <no subject> Entered By [REDACTED]  
Message  
Briefed CO on the content of the PHONCON with D1 legal.

Date/Time 031736ZNOV07 Subject(s) <no subject> Entered By [REDACTED]  
Message  
Called [REDACTED] who reported that the vessel had a 45 foot long inset 6-8 inches deep. He requested we include in the COTP order in addition to the dive survey a Marine Chemist to ensure that cargo did not migrate into the non cargo spaces.

Date/Time 031736ZNOV07 Subject(s) <no subject> Entered By [REDACTED]  
Message  
CDO called vessel's legal reps. Freehill Hogan & Mahar legal to report that D1 legal will be in contact with them shortly.

Date/Time 031743ZNOV07 Subject(s) <no subject> Entered By [REDACTED]  
Message  
Issued SMIB notifying mariners to stay clear of the aid.

Date/Time 031800ZNOV07 Subject(s) <no subject> Entered By [REDACTED]  
Message  
Sent COTP order 095-07 to the agent [REDACTED] as follows: 1) Conduct a dive survey and provide the Coast Guard with the report and repair proposal if necessary. 2) Submit a written report from an NFPA Certified Marine Chemist of the atmospheric condition in all non-cargo spaces including the pump room, pump trunks, ballast tanks, duct keel, and void spaces. The report should verify that all non-cargo spaces are absent of cargo. 3) Be available for this office to investigate the incident concerning the allision with and damage to the Aid to Navigation Damage. Prior to departure.

Date/Time 031830ZNOV07 Subject(s) <no subject> Entered By [REDACTED]  
Message  
CDO joined conference call with D1 legal ([REDACTED]), Prevention [REDACTED], WWM Branch [REDACTED], CEU Providence and D1 CC. D1 arranged a helo and requested SECNY have a member (preferably with a ATON background) be available for an overflight of the aid to assess damage and take photos prior to sunset. It was also passed that D1 will place a temp. buoy at Ambrose Light until repairs are completed and CEU will attend the Aid to access whether structure is sound prior to allowing persons on or vessels near the ATON.

Date/Time 031842ZNOV07 Subject(s) <no subject> Entered By [REDACTED]  
Message  
FM D1 TO DP / DPW / [REDACTED] CEU. BRFD ON ALLISION.

Date/Time 031926ZNOV07 Subject(s) <no subject> Entered By [REDACTED]

Message

CDO spoke with Pilot of HH-60 to arrange meeting of personnel. Helo is to meet at the ball field on Fort Wadsworth at 1700Q.

| Date/Time    | Subject(s)   | Entered By |
|--------------|--|------------|
| 032100ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | Received calls from vessel operator (Mr. [REDACTED]; Teekay Shipping) with concern of content of the COTP order and the vessel's timely departure.   |            |
| 032100ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | Helo departs with 2 SEC NY personnel   |            |
| 032150ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | Helo RTB SEC NY.   |            |
| 032240ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | Duty Marine Inspector reports that there was significant damage found in the #4 stbd ballast tank specifically in the 3rd and 4th bay.   |            |
| 032300ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | Prevention requested I draft an amendment to COTP order 095-07 due to the damage found during the survey.  |            |
| 032330ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | CONF CALL W/ NY ([REDACTED] DL ([REDACTED] DISCUSSED SURITY BOND.  |            |
| 032354ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | Sent Mr. [REDACTED] with Teekay photos of damages to Ambrose Light. After class' assessment and photos, operator now understands severity of damage to both vessel and aid.  |            |
| 040007ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | Sent 1st amendment of COTP order 095-07 as follows: Based upon the damage discovered during the internal survey of the #4 and 5 starboard ballast tanks the vessel's structural integrity is in question. Therefore, prior to cargo operations or vessel movement a structural assessment must be completed and submitted to the Coast Guard Marine Safety Center (MSC). The assessment must be reviewed and approved by MSC and the vessel's classification society prior to departure. |            |
| 040200ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | DIO, DMI and DPI RTB.  |            |
| 041308ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | [REDACTED] sent out initial notification to staff at 1440Q Nov 03. An update was sent later in his watch, and a further update was sent by [REDACTED]  |            |
| 041500ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | RCVD CLASS RPT FROM DNV CLASSIFICATION SOCIETY. SATISFIED CONDITIONS OF COTP ORDER.  |            |
| 041505ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | NTFD DUTY MI.  |            |
| 041507ZNOV07 | <no subject><br>Message  | [REDACTED] |
|              | 040930R - D1 - SEC NY - AMBROSE LIGHT ALLISION - UPDATE: Pilots report the Ambrose Light is extinguished. CGC WILLOW is making preparations to set a temporary buoy, ETA is Tuesday morning. The Chief of the Ocean Engineering Div (CG-432) estimates significant, if not total replacement, of the light based on pictures provided by an HH-60. Case Pends. V/r, [REDACTED] LANT/D5 MHLS Watch  |            |

| Date/Time    | Subject(s)  | Entered By |
|--------------|---|------------|
| 041640ZNOV07 | <no subject><br>Message<br>MI BRFD [REDACTED] AND DISCUSSED AMENDED COTP ORDER PROVISIONS FOR VSL TO BEGIN CARGO DISCHARGE AND EFFECT TEMP REPAIRS.   | [REDACTED] |
| 041750ZNOV07 | <no subject><br>Message<br>IO STILL ONBOARD AXEL SPIRIT CONDUCTING INVESTIGATION. JUST CHECKING IN.   | [REDACTED] |
| 041819ZNOV07 | <no subject><br>Message<br>NTFD SECNY 3 NTSB OFFICERS W/CONDUCT INVESTIGATION ([REDACTED]).   | [REDACTED] |
| 041829ZNOV07 | <no subject><br>Message<br>BRFD [REDACTED], CO, IMD, [REDACTED], DI IRT NTSB INVESTIGATION.   | [REDACTED] |
| 042117ZNOV07 | <no subject><br>Message<br>CONTACTED L [REDACTED] OF DI LEGAL REQUESTING INFORMATION IRT WHAT LEGAL ACTION MAY BE REQUIRED TO HOLD 2ND MATE AT JFK.   | [REDACTED] |
| 042127ZNOV07 | <no subject><br>Message<br>CALLED CONCERNING VSL'S 2ND MATE WHO IS PLANNING ON DEPARTING FROM JFK TO POLAND AS REGULARLY SCHEDULED. HE NEEDS TO KNOW IF NTSB W/REQUIRE SUBJECT TO REMAIN IN US TO FACILITATE INVESTIGATION. | [REDACTED] |
| 042130ZNOV07 | <no subject><br>Message<br>NTFD [REDACTED]  | [REDACTED] |
| 042135ZNOV07 | <no subject><br>Message<br>RECALLED 2ND MATE AT JFK, HE IS RETURNING. NO LEGAL ACTION REQUIRED/NECESSARY.   | [REDACTED] |
| 042137ZNOV07 | <no subject><br>Message<br>BRFD [REDACTED]  | [REDACTED] |
| 042152ZNOV07 | <no subject><br>Message<br>RCVD CARGO DISCHARGE PLAN, FORWARDED TO MR. HILLIN'S HOME E-MAIL ADDRESS.  | [REDACTED] |
| 042156ZNOV07 | <no subject><br>Message<br>CONTACTED NTSB HQ - GOT NUMBER FOR OFFICER [REDACTED] WHO IS ENROUTE NYC. ASKED IF 2ND MATE REQUIRED TO REMAIN IN US FOR INVESTIGATION AND HE REPLIED "YES."                                     | [REDACTED] |
| 042326ZNOV07 | <no subject><br>Message<br>[REDACTED] - FROM AMERICAS WATERWAY WATCH - REQUESTED BACKGROUND INFO REFERENCING ATON ALLISION.   | [REDACTED] |
| 042359ZNOV07 | <no subject><br>Message<br>CALLED HQ CC - SPOKE W/LT WALLS REGARDING EXPECTATIONS FOR SITREP.   | [REDACTED] |
| 051300ZNOV07 | <no subject><br>Message<br>Transferred case to IMD.   | [REDACTED] |

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|---------------------------|--|--------------------------|
| Date/Time<br>052235ZNOV07 | Subject(s)<br><no subject><br>Message<br>VALIDATED [REDACTED]  | Entered By<br>[REDACTED] |
| Date/Time<br>052340ZNOV07 | Subject(s)<br><no subject><br>Message<br><br>EMAILED 2ND AMENDMENT TO THE COTP TO [REDACTED] HOME ADDRESS FOR REVIEW; NO CHANGES NEED BE MADE. HOLDING COTP PENDING RESPONSE FROM SERT.                | Entered By<br>[REDACTED] |
| Date/Time<br>060000ZNOV07 | Subject(s)<br><no subject><br>Message<br><br>INFORMED HIM TO FILL OUT FIRST PAGE OF SERT RAPID SALVAGE REPORT IN PREPS FOR SERT (MSC) STRUCTURAL ANALYSIS.   | Entered By<br>[REDACTED] |
| Date/Time<br>060044ZNOV07 | Subject(s)<br><no subject><br>Message<br>RCVD RSS FROM [REDACTED] HE CALLED TO CONFIRM RECEIPT.  | Entered By<br>[REDACTED] |
| Date/Time<br>060100ZNOV07 | Subject(s)<br><no subject><br>Message<br><br>NOTIFIED SERT OFFICER, REQUESTED TO KNOW WHAT ELSE WAS NECESSARY FOR THE VESSEL TO PROVIDE TO SERT IN ORDER TO CONDUCT COMPLETE STRUCTURAL ANALYSIS.      | Entered By<br>[REDACTED] |
| Date/Time<br>060425ZNOV07 | Subject(s)<br><no subject><br>Message<br><br>HE WILL BE SENDING US THE LOADING SEQUENCES FOR THE INITIAL, DURING, AND FINAL PHASES OF CARGO DISCHARGE.   | Entered By<br>[REDACTED] |
| Date/Time<br>060430ZNOV07 | Subject(s)<br><no subject><br>Message<br><br>RECEIVED 2ND PRESS RELEASE; NOTIFIED IO, & [REDACTED], WHO MADE CHANGES. 0523-CALLED PA3, READ HER THE CHANGES OVER THE PHONE.                            | Entered By<br>[REDACTED] |
| Date/Time<br>060553ZNOV07 | Subject(s)<br><no subject><br>Message<br><br>CALLED MASTER TO CONFIRM RECEIPT OF LOADING SEQUENCES. MASTER STRESSED 74% AND 47% WERE SEAGOING STRESSES. SPOKE WITH [REDACTED], MANAGER FROM VANCOUVER. | Entered By<br>[REDACTED] |
| Date/Time<br>060555ZNOV07 | Subject(s)<br><no subject><br>Message<br>EMAILED VSL'S LOADING SEQUENCES TO CERT.  | Entered By<br>[REDACTED] |
| Date/Time<br>060630ZNOV07 | Subject(s)<br><no subject><br>Message<br>CALLED [REDACTED] TO CONFIRM RECEIPT OF PHOTOS AND SITREP.  | Entered By<br>[REDACTED] |
| Date/Time<br>061126ZNOV07 | Subject(s)<br><no subject><br>Message<br><br>SERT REQUESTED MORE INFO; EMAILED [REDACTED] AND [REDACTED] REQUESTING ASSISTANCE IN DEALING WITH SERT (DUE TO LACK OF CDO ENGINEERING KNOWLEDGE)         | Entered By<br>[REDACTED] |