

ABS SafeNet

DOC AUDIT STATUS REPORT (FOR OWNER)

AMERICAN WEST STEAMBOAT CO. LLC



Customer Number : 779085
IMO Number : 4075731
Print Date : Wed Jul 27 10:58:08 CDT 2005

ABS SafeNet DOC Audit Status

Customer Name : AMERICAN WEST STEAMBOAT CO. LLC
Status : In Service, Active

Customer Number : 779085
IMO Number : 4075731

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Owner/Manager Address

ISM Customer

AMERICAN WEST STEAMBOAT CO. LLC
Customer Number : 779085
Attention : CANDY WESTFALL
Address :
2723 NORTH HAYDEN DRIVE
PORTLAND, OR, 97217, United States .

ISM Billing Customer

AMERICAN WEST STEAMBOAT COMPANY
Customer Number : 146052
Attention : ACCOUNTS PAYABLE
Address :
1200 NW NAITO PARKWAY - SUITE 500
PORTLAND, OR, 97209, United States .

Certificates

| Company Certificate Type | Term | Flag | Issue Date | Expiry Date | Extended Date | State Date | Status | SOLAS Type(s) |
|--------------------------------------|-----------|--------------------------------|-------------|-------------|------------------|-------------|--------|-------------------|
| Documents | | | | | | | | |
| DOC - United States of America | Full Term | United States of America | 05 Jul 2005 | 01 Feb 2009 | | 05 Jul 2005 | Issued | Passenger Ship |

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Audits - Scheduled

✓ indicates audit requested or in progress.

| Audit Name | Status | Last Visit Date | Due Date | Range Date | Extended Date |
|------------|--------|-----------------|----------|------------|---------------|
|------------|--------|-----------------|----------|------------|---------------|

Statutory

| | | | | | |
|---------------------|---|-------------|-------------|---------------------------|--|
| ISM Annual Audit 1 |  Commenced | 17 Mar 2005 | 01 Feb 2005 | 01 Nov 2004 - 01 May 2005 | |
| ISM Renewal Audit 1 |  | | 01 Feb 2009 | 01 Nov 2008 - 01 Feb 2009 | |

Attendance - In Progress

No records exists for the criteria.

Audits - Incomplete

No Incomplete Audits.

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Customer Name : **AMERICAN WEST STEAMBOAT CO. LLC**
Status : **In Service, Active**

Customer Number : **779085**
IMO Number : **4075731**

ISM CARS - Open

No open ISM corrective action requests have been reported as of the date of this report.

ISM CARS - Closed

For Last 1 Year

| Ref. No. | Port Office | Report Date | Report Number | Opened Under Survey |
|-----------------|--------------------|--------------------|----------------------|----------------------------|
| 1 | Seattle, WA | 17 Mar 2005 | S593694_B | ISM Initial Audit |

Recommended: A. No evidence found that the Masters Voyage Report form was being completed and sent to the office as required by 3.1.2 of the SMM Fleet Instructions.
B. No evidence found of the Masters review of the SMM was found in the office as required by 8.8.6 of the SMM Office Manual.

Rectified: Effectiveness Verified

ISM Observations

No open ISM observations have been reported as of the date of this report.

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Comments

No Comments present for this customer.

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Customer Name : **AMERICAN WEST STEAMBOAT CO. LLC**
Status : **In Service, Active**

Customer Number : **779085**
IMO Number : **4075731**

Attendance History - Last 12 months

| Port Office | Report Number | Last Visit Date |
|--------------------|----------------------|---|
| Seattle, WA | S593694 | 17 Mar 2005 |
| | Report Number | Audit |
| | S593694_B | Confirmation of Compliance - Management Systems |
| | S593694_A | ISM Annual Audit 1 |
| | | Status |
| | | Completed |
| | | Commenced |

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List

| Name | IMO Number | Class Number | SOLAS Type | Type | State |
|----------------------|-------------------|---------------------|-------------------|------------------|--------------|
| EMPRESS OF THE NORTH | 9263538 | 03113441 | Passenger Ship | Passenger Vessel | In Operation |

ABS SafeNet - ISM CARS

Name : **EMPRESS OF THE NORTH**
 Status : **In Operation, Active, Classed**

Class Number : **03113441**
 IMO Number : **9263538**

ISM CARS - Open

No open ISM CARS have been reported as of the date of this report.

ISM CARS - In Progress

No in progress ISM CARS have been reported as of the date of this report.

ISM CARS - Plan Accepted

| | | | | |
|----|--|--|---|-----------------------------|
| 14 | Opened in Report Plan Accepted in Report Due By CAR Plan Acceptance | PO482832_A - ISM Additional Audit S500280_C - Confirmation of Compliance - Management Systems 11 Jun 2006 ISM Clause : 10.2.1 No evidence found that the vessel's fire detection system is being tested by the crew as part of the ongoing preventative maintenance. All equipment has been identified with a number by AWSC's engineering and a specific schedule has been set for maintenance and testing. Checklist will be used by the engineering department to keep record of the completion of the each task and procedure. The Chief Engineer will insure that all duties are being carried out and will keep all of the completed checklist on the vessel and forward a copy to the Director of Marine Operations. The master Colander of the maintenance program will also be given to the Master of the vessel to verify completion. | 11 Dec 2003 24 Feb 2004 Minor | Portland, OR Seattle, WA |
| 13 | Opened in Report Plan Accepted in Report Due By CAR Plan Acceptance | PO482832_A - ISM Additional Audit S500280_C - Confirmation of Compliance - Management Systems 11 Jun 2006 ISM Clause : 5.1.1 No evidence found that the Company required monthly Safety Meetings were being held and minutes taken on the SMM form SMSA-19. This has been downgraded from a Major to a Minor CAR due to the recent implementation of safety meetings with the Master and crew. Documentation of the meetings and agenda remain to be verified. AWSC now has in place a scheduled a minimum of a monthly safety meeting chaired by the Master of the vessel. Minutes will be taken at each meeting. These minutes will be signed by the captain and a list of who attended the safety meeting will be kept on the vessel and copy of the minutes will be sent to the office so that the Document Control department can verify that these meetings are taking place. If for any reason a monthly safety meeting is not conducted a NCR shall be generated, documenting the reason for not having the meeting. | 11 Dec 2003 24 Feb 2004 Minor | Portland, OR Seattle, WA |
| 12 | Opened in Report Plan Accepted in Report Due By CAR Due States | PO482832_A - ISM Additional Audit S500280_C - Confirmation of Compliance - Management Systems 11 Jun 2006 ISM Clause : 8.2 No evidence found that emergency drills were being carried out in an effective manor. In particular, steering failure drills with the Shuttle system do not include simulation of | 11 Dec 2003 24 Feb 2004 Minor | Portland, OR Seattle, WA |

Overdue
 Overdue within 180 days
 Overdue after 180 days

ABS SafeNet - ISM CARS

Name : EMPRESS OF THE NORTH
Status : In Operation, Active, Classed

Class Number : 03113441
IMO Number : 9263538

Plan Acceptance

steering pump failure. Following the recent grounding due to steering failure, more emphasis needs to be placed on system familiarization training and communications between the bridge and engine room. This has been downgraded from a Major to a Minor CAR at this time due to revised Emergency Steering Procedures noted on board in response to the casualty. Documentation of the implementation of more thorough emergency drill remains to be verified.

2. PO482832-ISM-NC-01

AWSC has revised the schedule for drill requirements. Drills schedule will be mandated at a minimum by the regulations to prepare officer and crew to respond quickly and comfortably to an emergency. Analysis and critique of all drills shall be held at each monthly safety meeting. Drills will be noted in the Navigational log and a copy of the detailed drill log will be kept on the vessel and forwarded to the Director of Marine Operations. If for any reason a scheduled drill is not conducted a NCR shall be generated, documenting the reason for not having the drill.

ISM CARS - Closed

No closed ISM CARS have been reported as of the date of this report.

Due States

 Overdue

 Overdue within 180 days

 Overdue after 180 days