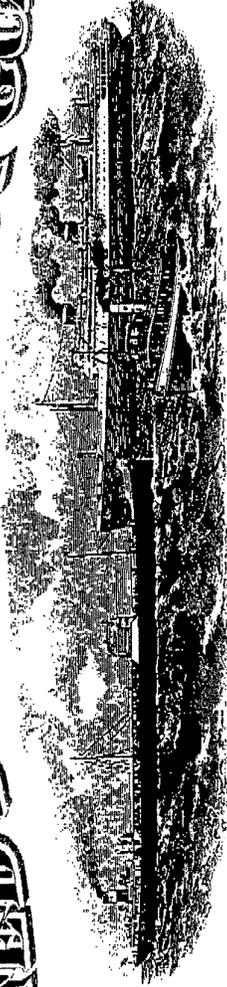


DEPT. OF TRANSP. U.S. COAST GUARD. CG-2049 (REV. 6-01)

SERIAL NUMBER

ISSUE NUMBER 1

UNITED STATES COAST GUARD



# U.S. MERCHANT MARINE OFFICER

*This is to certify that*

\*\*\* FRANCIS OAKWOOD DEPPNER \*\*\*

*having been duly examined and found competent by the undersigned is licensed to serve  
for the term of five years from the below issue date as:*

MASTER OF STEAM OR MOTOR VESSELS OF NOT MORE THAN 50 GROSS REGISTERED TONS  
(DOMESTIC TONNAGE) UPON INLAND WATERS; ALSO, AUTHORIZED TO ENGAGE IN  
COMMERCIAL ASSISTANCE TOWING

*Given under my hand this 30TH day of April 2002*

ISSUE PORT: BALTIMORE, MD  
EXPIRATION DATE: APRIL 30, 2007

L. M. PATTERSON, BY DIRECTION  
OFFICER IN CHARGE, MARINE INSPECTION

**Application for License as an Officer, Staff Officer, or  
Operator and for Merchant Mariner's Document**

**Section I - Personal Data**

Name (Last, First, Middle) (Maiden Name if applicable) <b>DEPPNER, FRANCIS (AKA FRANK) OAKWOOD</b>		Social Security Number [REDACTED]
Date of Birth (Month, Day, Year) <b>05/09/1929</b>	Place of Birth (City, State, Country) <b>BLAKELEE, Pa - MONROE</b>	Country of Citizenship <b>USA -</b>
Color of Eyes <b>Blue</b>	Color of Hair <b>Grey - BLACK</b>	Height <b>5 ft 7 in</b>
Mailing Address, City, State, Zip Code (PO Boxes are acceptable) [REDACTED]		Weight <b>200 lbs</b>
Phone Number (Area Code) [REDACTED]		
FAX Number <b>NONE</b>		
E-mail Address [REDACTED]		
Next of Kin's Name and Mailing Address, City, State, Zip Code [REDACTED]		Relationship [REDACTED]
		Next of Kin's Phone Number (Area Code) [REDACTED]
		Next of Kin's E-mail Address [REDACTED]

**Parental or Guardian's Consent**

I am under 18 years old and a notarized statement of parental/guardian consent is attached.

**Section II - Type of Transaction**

Transaction	Original	Renewal	Raise in Grade	Endorsement	Duplicate*
<input checked="" type="checkbox"/> License	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Merchant Mariner's Document (MMD)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Seafarer's Training, Certification & Watchkeeping (STCW Certificate)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Certificates of Registry	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Certificates of Discharge					

**\* If requesting a duplicate for a lost or stolen License/MMD attach a signed statement explaining how, when and where your credentials were lost or stolen and your efforts to recover them.**

**Applying for:**

Grade of License (include tonnage, waters, propulsion mode, horsepower, etc.); or MMD rating (Able Seaman, QMED-Oiler, etc.)

**Master up to 100 gross tons with towing endorsement.**

**Current or Previous License/Merchant Mariner's Document History**

Description of License/Merchant Mariner's Document	Place of Issue	Date of Issue
<b>NONE</b>	<b>NA</b>	<b>NA</b>

Previous Edition Obsolete

**Section III - Narcotics, DWI/DUI, and Conviction Record**

Yes (X)	No (X)	Indicate your answers to the following questions; sign and date at the bottom of this section.
<input checked="" type="checkbox"/>	<input type="checkbox"/>	Have you ever been convicted of violating a dangerous drug law of the United States, District of Columbia, or any state, or territory of the United States? (This includes marijuana) <i>(If yes, attach statement)</i>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Have you ever been a user of/or addicted to a dangerous drug, including marijuana? <i>(If yes, attach statement)</i>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Have you ever been convicted by any court - including military court - for an offense other than a minor traffic violation? Conviction means found guilty by judgement or plea and includes cases of deferred adjudication (NOLO CONTENDERE, adjudication withheld, etc.) or where the court required you to attend classes, make contribution of time or money, receive treatment, submit to any manner of probation or supervision, or forgo appeal of a trial court finding? Expunged convictions must be reported unless the expungement was based upon a showing that the court's earlier conviction was in error. <i>(If yes, attach statement)</i>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Have you ever been convicted of a traffic violation arising in connection with a fatal traffic accident, reckless driving or racing on the highway or operating a motor vehicle while under the influence of, or impaired by, alcohol or a controlled substance? <i>(If yes, attach statement)</i>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Have you ever had your driver's license revoked or suspended for refusing to submit to an alcohol or drug test? <i>(If yes, attach statement)</i>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Have you ever been given a Coast Guard Letter of Warning or been assessed a civil penalty for violation of maritime or environmental regulations? <i>(If yes, attach statement)</i>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	Have you ever had any Coast Guard license or document held by you revoked, suspended or voluntarily surrendered? <i>(If yes, attach statement)</i>

**I have attached a statement of explanation for all areas marked "yes" above. I signed this section with full understanding that a false statement is grounds for denial of the application as well as criminal prosecution and financial penalty. I understand that failure to answer every question will delay my application.**

Signature of Applicant agreeing to the above statement	Date
--	------

**Section IV - Character References (For Original License Applicants Only)**

I am an Original License Applicant and have attached three letters of written recommendation.

**Section V - Mariner's Consent**

**National Driver Registry (NDR) (Mandatory):** I authorize the National Drivers Registry, through a designated State Department of Motor Vehicles, to furnish the U.S. Coast Guard (USCG) information pertaining to my driving record. This consent constitutes authorization for a single access to the information contained in the NDR to verify information provided in this application. I understand the USCG will make the information received from the NDR available to me for review and written comment prior to taking any action against my License or Merchant Mariner's Document. Authority: 46 U. S. C. 7101(g) and 46 U. S. C. 7302(c).

Signature of Applicant	Date
------------------------	------

**Mariner's Tracking System (Optional):** I consent to voluntary participation in the Mariner's Tracking System to be used by the Maritime Administration (MARAD) in the event of a national emergency or seafit crisis. In such an emergency, MARAD would disseminate my contact information to an appropriate maritime employment office to determine my availability for possible employment on a seafit vessel. This is not a Reserve program nor does it guarantee call-up for employment. This authorization can be revoked at any time by contacting an U.S. Coast Guard Regional Examination Center (REC).

Signature of Applicant	Date
------------------------	------

**Section VI - Certification and Oath**

Certification (Mandatory)

Whoever, in any manner within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, violates the U. S. Criminal Code at Title 18 U. S. C. 1001 which subjects the violator to Federal prosecution and possible incarceration, fine or both.

I certify that the information on this application is true and correct and that I have not submitted any application of any type to the Officer-in-Charge, Marine Inspection in any port and been rejected or denied within 12 months of this application.

Signature of Applicant	Date
------------------------	------

Oath (For Originals Only and Signature must be witnessed)

I do solemnly swear or affirm that I will faithfully and honestly, according to my best skill and judgment, and without concealment and reservation, perform all the duties required of me by the laws of the United States. I will faithfully and honestly carry out the lawful orders of my superior officers aboard a vessel.

Signature of Applicant	Date	Signature of Coast Guard Official or Notary	Date
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<b>Section VII - Application Review</b>	<b>[Regional Exam Center Use Only]</b>
Signature of Approving Official	REC
(Application has been reviewed on this date)	Date

**Section VIII - License / MMD Issued**

License Type (wording) <b>MST IL 50GT, COMM. TOWING</b>	Document Rating
Issue Number <b>1</b>	Serial Number <b>994146</b>
Expiration Date <b>4-30-07</b>	Social Security Number
Signature of Issuing Official	Expiration Date <b>4/30/02</b>
Signature of Issuing Official	REC <b>BAL-T</b>

**Section IX - Duplicate Transactions [National Maritime Center Use Only]**

Name (First, Last Middle)	Social Security Number
Date of Birth	Place of Birth
Citizenship	Date Naturalized
Duplicate Number	Collect Additional Fee Op.
Ratings/Endorsements Authorized	

\* L = length = 39  
 B = breadth = 14  
 D = Depth = 17

$$\frac{39 \times 14 \times 17}{100} = 92.82$$



**SEA SERVICE FORM**  
 (SMALL BOAT EXPERIENCE)  
 REC BALTIMORE, MD

FRANCIS O. Deppner  
 APPLICANT'S NAME

[Redacted]

Vessel Name: KATISA 111  
 Length of Vessel: 46' OA (Model 3988BYL)  
 Gross Tons: 93 Propulsion: MOTOR  
(motor/sail/aux.sail)

Official No. or State Reg. No. 1045429  
(Attach copy of Documentation Papers or State Reg. or Certificate of Inspection as proof of Ownership, if applicant is owner of vessel)

Served As: Owner/Operator  
(owner/operator, operator, mate, deckhand)

Vessel was operated by the applicant upon the waters of:  
Chesapeake Bay and TRIBUTARIES  
(body or bodies of water: Atlantic/Pacific/Gulf of Mexico/Lakes/Bays/Sounds/Rivers/Great Lakes)

Write in the block under the appropriate month the year and the number of days of that year that you operated or served on the above vessel. Only actual underway days on the vessel should be listed. Do not list time aboard the vessel when not underway. Excessive service (20 or more days each month) on recreational vessels must be explained in a separate letter attached to this form documenting your employment situation in relationship to your underway days.

	Jan (Year) (Days)	Feb (Year) (Days)	Mar (Year) (Day)	Apr (Year) (Day)	May (Year) (Day)	Jun (Year) (Day)
99	0	0	3	11	16	17
98	0	0	2	8	16	17
97	0	0	4	13	18	18
96	0	0	0	0	0	0
	Jul (Year) (Days)	Aug (Year) (Days)	Sep (Year) (Day)	Oct (Year) (Day)	Nov (Year) (Day)	Dec (Year) (Day)
99	19	17	0	0	0	0
98	18	19	18	16	0	0
97	15	17	18	15	1	0
96	9	16	17	15	3	0

Total number of days operated on this vessel: \_\_\_\_\_ Average number of hrs operated per day: 8 or more

No. days operated offshore: \_\_\_\_\_ Max distance operated offshore: \_\_\_\_\_ No. days on inland waters: \_\_\_\_\_

Applicant's Signature: [Redacted] Date: [Redacted]

Note: If the applicant was the owner of this vessel during the above periods, a copy of the vessel documentation papers, state registration, or certificate of inspection must be attached as proof of ownership of the vessel and the remainder of this form need not be completed. If the applicant was not the owner of this vessel during the above periods, the remainder of this form must be completed.

IF THE APPLICANT IS NOT THE OWNER, THE OWNER MUST COMPLETE THE FOLLOWING  
 Owner's Name: \_\_\_\_\_ Phone: ( ) \_\_\_\_\_



SEA SERVICE FORM  
(SMALL BOAT EXPERIENCE)  
REC BALTIMORE, MD

FRANCIS O. Deppner  
APPLICANT'S NAME

[Redacted]  
SSN

Vessel Name: KALISA LIL  
Length of Vessel: 46' OA (Model 3988B41)

Official No. or State Reg. No. 1045429  
(Attach copy of Documentation Papers or State Reg. or Certificate of Inspection as proof of Ownership, if applicant is owner of vessel)

Gross Tons: \_\_\_\_\_ Propulsion: MOTOR  
(motor/sail/aux sail)

Served As: Owner/Operator  
(owner/operator, operator, mate, deckhand)

Vessel was operated by the applicant upon the waters of:  
Chesapeake Bay and Tributaries  
(body or bodies of water: Atlantic/Pacific/Gulf of Mexico, Lakes/Bays/Sounds/Rivers/Great Lakes)

Write in the block under the appropriate month the year and the number of days of that year that you operated or served on the above vessel. Only actual underway days on the vessel should be listed. Do not list time aboard the vessel when not underway. Excessive service (20 or more days each month) on recreational vessels must be explained in a separate letter attached to this form documenting your employment situation in relationship to your underway days.

	Jan (Year) (Days)	Feb (Year) (Days)	Mar (Year) (Day)	Apr (Year) (Day)	May (Year) (Day)	Jun (Year) (Day)
99	0	0	3	11	16	17
98	0	0	2	8	16	17
97	0	0	4	13	18	18
96	0	0	0	0	0	0

	Jul (Year) (Days)	Aug (Year) (Days)	Sep (Year) (Day)	Oct (Year) (Day)	Nov (Year) (Day)	Dec (Year) (Day)
99	19	17	0	0	0	0
98	18	19	18	16	0	0
97	15	17	18	15	1	0
96	9	16	17	15	3	0

Total number of days operated on this vessel: 376 Average number of hrs operated per day: 8 or more

No. days operated offshore: \_\_\_\_\_ Max distance operated offshore: \_\_\_\_\_ No. days on inland waters: \_\_\_\_\_

Applicant's Signature: [Redacted] Date: [Redacted]

Note: If the applicant was the owner of this vessel during the above periods, a copy of the vessel documentation papers, state registration, or certificate of inspection must be attached as proof of ownership of the vessel and the remainder of this form need not be completed. If the applicant was not the owner of this vessel during the above periods, the remainder of this form must be completed.

IF THE APPLICANT IS NOT THE OWNER, THE OWNER MUST COMPLETE THE FOLLOWING

Owner's Name: \_\_\_\_\_ Phone: (\_\_\_\_) \_\_\_\_\_

Address: \_\_\_\_\_

**Read Before Signing:** I certify that the above individual has operated the above vessel as stated. I am making this statement in order that the applicant may obtain a license to operate passenger carrying vessels under the provisions of Title 46 CFR, Subpart D, as applicable. I understand that if I make any false or fraudulent statements in this certification of service, I may be subject to a fine of up to \$10,000 or imprisonment of up to five (5) years or both (18 USC 1001).

Subscribed and Sworn to before me  
This \_\_\_\_\_ Day of \_\_\_\_\_ 19\_\_\_\_

Signature of Owner of Vessel \_\_\_\_\_



**UNITED STATES OF AMERICA**  
**DEPARTMENT OF TRANSPORTATION**  
**UNITED STATES COAST GUARD**

HIN: BL2A05EHP697

# Certificate of Documentation

VESSEL NAME <b>KATISA LIL</b>			OFFICIAL NUMBER <b>1045429</b>		HAILING PORT <b>MIDDLE RIVER, MD</b>	
GROSS <b>22</b>	NET <b>18</b>	LENGTH <b>39.0</b>	BREADTH <b>13.9</b>	DEPTH <b>6.2</b>	HULL MATERIAL <b>FRP</b>	SELF PROPELLED <b>YES</b>
PLACE BUILT <b>VALDOSTA GA</b>					YEAR BUILT <b>1996</b>	

OWNER <b>FRANCIS O DEPPNER</b> [REDACTED]	OPERATIONAL ENDORSEMENTS <b>RECREATION</b>
COMPLETE RECORDS ON FILE AT: <b>NATL VESSEL DOC CTR</b>	

MANAGING OWNER <b>FRANCIS O DEPPNER</b> [REDACTED]
--

RESTRICTIONS <b>NONE</b>
-----------------------------

ENTITLEMENTS <b>NONE</b>	<b>CERTIFIED TRUE AND ACCURATE COPY OF THE ORIGINAL</b>
REMARKS <b>NONE</b>	<b>CAPT. B. GUNNARD NOTARY PUBLIC WESTMORELAND, CO, VA. MY COMMISSION EXPIRES 4/30/05</b>

THIS CERTIFICATE MAY NOT BE ALTERED EXCEPT BY AFFIXING OFFICIAL RENEWAL AND ADDRESS CHANGE DECALS ON THE REVERSE.

ISSUED AT <b>NATL VESSEL DOC CTR</b>	SIGNATURE AND SEAL [REDACTED] <b>A GUNNELL</b> DOCUMENTATION OFFICER
ISSUE DATE <b>AUGUST 21, 1996</b>	THIS CERTIFICATE EXPIRES ON THE LAST DAY OF <b>AUG97</b> UNLESS RENEWED BY DECAL ON REVERSE. <b>GG</b>

March 26, 2001

Officer in Charge  
United States Coast Guard  
Baltimore, MD, 21202

Sir;

This recommendation pertains to Mr. Francis O. Deppner and is furnished in conjunction with an application he is submitting to the USCG. I have known Mr. Deppner for 27 years. He is of excellent character. He is sober, responsible, and dedicated to principles of sportsmanship, loyalty, and duty.

I have been aboard Katisa Lil, Mr. Deppner's vessel, several times in recent years. Mr. Deppner has shown me the highest level of competence and integrity. It is my understanding that this reference is required for inclusion with his application for a USCG mariner's licence.

If I can be of further assistance or provide additional information to the Coast Guard in this matter please contact me at [redacted] (home) or [redacted] (office). I am a Senior Systems Engineer with the MITRE Corp in Reston, Virginia. I reside at [redacted]

[redacted]  
Jerry L. Buffay  
County/City of Fairfax  
Commonwealth/State of Virginia  
The foregoing instrument was acknowledged  
before me this 26th day of March  
2001 by  
Jerry L. Buffay  
(name of person seeking acknowledgment)  
Joseph R. Edmonds  
Notary Public  
My commission expires: 31 July 2002



## GARRERA INSURANCE AGENCY

All Forms of Insurance

28 TRINITY ST., POST OFFICE BOX 220  
NEWTON, NEW JERSEY 07860 • (201) 383-2232 & 383-0079

March 27, 2001

Officer-in-charge  
United States Coast Guard  
Baltimore, MD 21202

RE: Deppner, Francis O.

Dear Sir:

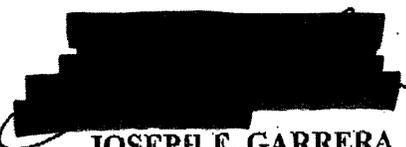
I understand that Mr. Francis O. Deppner is applying for a mariner's license that necessitates personal recommendations.

As a mariner with fifteen years of experience piloting a vessel in the North Atlantic, and the Great Lakes, I can fully appreciate the importance and necessity of my recommendation.

Recently I spent an entire day on the water with Mr. Deppner, at which time he demonstrated safe and articulate control of his vessel. More importantly, I have known Mr. Deppner for over 30 years and can certify that his character and integrity are beyond reproach. He is a retired field grade officer from the United States military and as such, he approaches his responsibilities with the highest degree of competence.

It is my sincere recommendation that Mr. Deppner be immediately granted his mariner's license.

Sincerely,

  
JOSEPH E. GARRERA

DIANA GARRERA  
NOTARY PUBLIC - COAST GUARD  
Commission Expires 7/1/2003

*Diana Garrera*  
3/27/01

February 4, 2002

Officer-in-Charge  
United States Coast Guard  
Baltimore, MD, 21202

Sir;

This recommendation pertains to Mr. Francis O. Deppner. It is provided in conjunction with his application to the USCG for licensing. I have known Mr. Deppner for 25 years. He is of excellent character. He is sober, responsible, and dedicated to principles of sportsmanship, loyalty, duty, and seamanship.

In recent years, I have been aboard Dr. Deppner's vessel, *Katisa Lil*, a number of times. With him, I have gone to Ocean, Maryland, via the C&D Canal, the Delaware River and Bay and the Atlantic Ocean. I have also accompanied him on trips to Avalon, N. J., a similar route - North instead of South - in the Atlantic Ocean. He has shown me the highest level of competence and integrity. It is my understanding that this reference is required for inclusion with his application for a USCG mariner's license.

If I can be of further assistance or provide additional information to the Coast Guard in this matter please contact me at 410-955-6143 - my office.

Sincerely;

[Redacted signature]

Janet L. Deppner

State of: MD  
County of: Balto.

Janet Deppner personally appeared on 2/4/02

[Redacted signature]

CONSTANCE DICKERSON  
NOTARY PUBLIC STATE OF MARYLAND  
My Commission Expires December 1, 2002